

APPENDIX A

Joint Audit and Governance Committee - 13 July 2023



Updates on Risk and Opportunity Management

	November 2022 update	July 2023 update
<u>Corporate Risks and Opportunities</u>	12 Risks - No change 4 Opportunities - No change	14 Risks - Plus 2 4 Opportunities - No change
<u>Service Risks and Opportunities</u> <u>Communities Directorate</u> Housing Adur Homes Wellbeing	4 Risks - No change 2 Opportunities - No change 5 Risks - No change 6 Risks - No change	N/A
<u>New Housing & Communities Directorate</u> Residents Services Community, Capacity & Resilience Housing, Homelessness & Prevention	N/A	3 Risks 4 Risks 8 Risks

<u>Digital, Sustainability & Resources Directorate</u> Customer, Digital, Waste & Recycling Financial Services Human Resources/ Organisational Development and Design Legal Services Revenues & Benefits	9 Risks - No change 6 Risks - No change 1 Opportunity - No change 6 Risks - No change 4 Risks - Plus 1 3 Risks - No change	N/A
<u>New Sustainability & Resources Directorate</u> Finance Legal & Democratic Services Operations & Sustainability Technology & Design	N/A	5 Risks 1 Opportunity 6 Risks 10 Risks 2 Risks
<u>Economy Directorate</u> Facilities & Technical Services Major Projects & Investment Place & Economy Planning & Development Leisure	6 Risks - No change 10 Risks - No change 8 Risks - No change 19 Risks - No change 1 Risk - No change	N/A

<u>New Place Directorate</u>	N/A	
Place & Economy		11 Risks
Regenerative Development		15 Risks
Planning		19 Risks

<u>High Risks on Service Risk registers</u>	<u>November 2022 update</u>	<u>July 2023</u>
Housing	2 - No change	2 - No change
Adur Homes	2 - No change	1 - Minus 1
Financial Services	4 - No change	4 - No change
Legal & Democratic Services	1	0
Residents Services	N/A	1
Regenerative Development	N/A	1

 Risks where assessment score has increased since the last report	Regenerative Development - Estates income - Risk that the Councils fail to meet property income targets which affects the Council funds. Risk increased to High because this year's Budget Plan places a high priority on the disposal of several key sites. The Council needs to ensure that this work is appropriately resourced. Recruitment of staff with the appropriate skills and the use of suitable advisors remains challenging and will remain a priority.
 Risks where assessment score has reduced since the previous report.	Legal & Democratic Services - Legal Services capacity risk - Risk reduced to Medium Risk from High because Legal Services have successfully recruited candidates and are monitoring workloads. Major Projects - New Monks Farm and Shoreham Airport - Risk that planning applications are not approved and housing and employment land required in the Local Plan is not achieved - Risk reduced to low risk because planning permission granted for additional homes and potential for land to be developed for employment purposes improving. Regenerative Development - Risk that commercial leased out premises are not safe, lawful and compliant with requirements. Risk reduced to Low risk because clarity on the risk management approach has been achieved with a number of properties being fully passed over to the estates team for management. .

<p>New Risks/Opportunities added since last report or changes to Risk descriptions</p>	<p>Corporate Risk - Risks to service delivery due to staff vacancies and recruitment difficulties - High Risk</p> <p>Corporate Risk - Orchard Housing system and ongoing issues with communications with Academy and other systems - Medium Risk</p>
<p>Risks/Opportunities removed since last report.</p>	<p>Planning - Risk of failure to adopt Worthing Local Plan - Risk removed because Local Plan has now been adopted and no High Court challenge received.</p> <p>Operations & Sustainability - Risk of failure in the recruitment and retention of HGV drivers/mechanics due to national shortage. Potential impact on the delivery of the alternate weekly refuse and recycling collection service and other waste collection services - Risk removed because of mitigations to resolve pay issues and other methods introduced to resolve recruitment and retention.</p>